

Corrigendum: Request For Proposal (RFP) for Selection of Registrar & Transfer Agent (RTA)

Ref: SBI/S&B/RTA/2022-23/01


Dated: 07-04-2023

Competent Authority has approved amendments to “Appendix B Bidder’s Eligibility Criteria”. Accordingly, following amendments are made in Sr. 12 and 15 of “**APPENDIX-B - BIDDER’S ELIGIBILITY CRITERIA**”:

BIDDER’S ELIGIBILITY CRITERIA

APPENDIX-B

Sr. No.	Existing Eligibility Criteria	New Eligibility Criteria
12	The Bidder should have at present minimum clientele of 20 (Twenty) listed entities/ companies/ Corporates for the Registrar and Share Transfer Business as on last date of submission of bid, of which at least 5 companies should have more than 10 lakhs share folios each.	The Bidder should have at present minimum clientele of 20 (Twenty) listed entities/ companies/ Corporates for the Registrar and Share Transfer Business as on last date of submission of bid.
15	There should not have been any disciplinary action initiated/ warning/ penalty/ suspension of business against the bidder and/or it’s Director/ Promoter by the SEBI/ other regulatory agency/ authority in the past 3 years.	There should not have been any disciplinary action initiated/ warning/ penalty/ suspension of business against the bidder and/or it’s Director/ Promoter by the SEBI/ other regulatory agency/ authority in the past 3 years. <i>However, if any such action/ penalty etc. is/ are initiated/ imposed by regulatory authority during last 3 years against the bidders, the appropriate remedial measures have already been taken by the Bidder as to avoid such instances in future after</i>

Selection of Registrar to Issue & Share Transfer Agent (RTA)	
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	<i>satisfaction of penalty / preferring appeal, as the case may be.</i>
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All other Terms of RFP remains unchanged..

Sd/-

Place: Mumbai

Archna Rastogi

Dated: 20.04.2023

(General Manager (S & B))